

Applicant or Patentee S.J. Paul et alSerial or patent No. _____ Attorney's Docket M-553Filed or issued _____ No M553

For: "SOFTWARE MANAGEMENT OF AN INTELLIGENT POWER CONDITIONER WITH
BACKUP SYSTEM OPTION EMPLOYING TREND ANALYSIS FOR EARLY PREDICTION
OF AC POWER LINE FAILURE"

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) AND 1.27(b)) - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37CFR 1.9(f) for purposes of paying reduced fees under section 41 (a) and (b) of title 35, United States Code, to the patent and trademark office with regard to the invention entitled: SOFTWARE MANAGEMENT OF AN INTELLIGENT POWER CONDITIONER WITH BACKUP OPTION described in:

☒ the specification filed herewith

☐ application serial no. _____ Filed _____

☐ patent no. _____ issued _____

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37CFR 1.9 (c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37CFR(d) or a nonprofit organization under 37CFR 1.9(e)

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention listed below:

☐ No such person, concern, or organization

☒ person, concerns or organizations listed below*

* NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities (37CFR 1.27)

FULL NAME Uninterruptible Power Products Inc.

ADDRESS 301 South Main Street, P.O. Box 350, Adams WI, 53910

☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NON PROFIT ORGANIZATION

FULL NAME _____

ADDRESS _____

☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NON PROFIT ORGANIZATION

FULL NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON PROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at any time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, and patent issuing thereon, or any patent to which this verified statement is directed.

Steven J. Paul
NAME OF INVENTOR 1

Steve Paul
SIGNATURE OF INVENTOR

Date

5-8-96

Michael W. Hogan
NAME OF INVENTOR 2

Michael W. Hogan
SIGNATURE OF INVENTOR

Date

5-8-96

Terese A. Kasper
NAME OF INVENTOR 3

Terese A. Kasper
SIGNATURE OF INVENTOR

Date

5-8-98

DECLARATION AND POWER OF ATTORNEY
IN ORIGINAL APPLICATIONPATENT AGENT'S DOCKET NO.
M- 553

I declare: that my residence and citizenship are as stated below next to my name; that I believe that I am the original, first, and sole inventor (if only I am named below) or joint inventor (if joint inventors are named below) of the subject matter which is claimed and for which a patent is sought in the specification hereto entitled

**"SOFTWARE MANAGEMENT OF AN INTELLIGENT POWER CONDITIONER
WITH BACKUP SYSTEM OPTION EMPLOYING TREND ANALYSIS FOR EARLY
PREDICTION OF AC POWER LINE FAILURE"**

that I have reviewed and understand the contents of the above identified specification, including the claim; that I acknowledge the duty to disclose to the patent and trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56; that all statements made herein of my own knowledge are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful and false statements may jeopardize the validity of any patent issuing thereon.

I appoint the following agent to prosecute this application and to transact all business in the patent and trademark office connected therewith:
Murray Leonard (Reg No. 39,515)

Direct all correspondence to:
Murray Leonard, Patent Agent
8360 Winter Springs Lane
Lake Worth FL 33467

Telephone: (561) 969 7749
Fax: (561) 969 2957

Steven J. Paul

FULL NAME OF FIRST INVENTOR INVENTOR'S SIGNATURE DATE

510 Township Rd. Camp Douglas, WI 54618

RESIDENCE AND POST OFFICE ADDRESS

CITIZENSHIP
U.S.A.

Michael W. Hogan

FULL NAME OF SECOND JOINT INVENTOR INVENTOR'S SIGNATURE DATE

602 Indian Mound Rd. New Lisbon WI 53950

RESIDENCE AND POST OFFICE ADDRESS

CITIZENSHIP
U.S.A.

Teresa A. Kamper

FULL NAME OF THIRD JOINT INVENTOR INVENTOR'S SIGNATURE DATE

134 oak Circle Drive, Camp Douglas WI. 54618

RESIDENCE AND POST OFFICE ADDRESS

CITIZENSHIP
U.S.A.

Gregory C. Kohls

FULL NAME OF FOURTH INVENTOR INVENTOR'S SIGNATURE DATE

8978 Holz Lane Nekoosa WI. 54457

RESIDENCE AND POST OFFICE ADDRESS

CITIZENSHIP
U.S.A.

Page 2 for additional inventors:

Applicant or Patentee S.J. Paul et al. Attorney's Docket
Serial or patent No. _____ No M553
Filed or issued _____
For: _____

**"SOFTWARE MANAGEMENT OF AN INTELLIGENT POWER CONDITIONER WITH
BACKUP SYSTEM OPTION EMPLOYING TREND ANALYSIS FOR EARLY PREDICTION
OF AC POWER LINE FAILURE"**

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) AND 1.27(b)) - INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37CFR 1.90 for purposes of paying reduced fees under section 41 (a) and (b) of title 35, United States Code, to the patent and trademark office with regard to the invention entitled: **"SOFTWARE MANAGEMENT OF AN INTELLIGENT POWER CONDITIONER WITH BACKUP SYSTEM OPTION EMPLOYING TREND ANALYSIS FOR EARLY PREDICTION OF AC POWER LINE FAILURE"** described in:

- ☒ the specification filed herewith
☐ application serial no. _____ Filed _____
☐ patent no. _____ issued _____

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37CFR 1.9 (c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37CFR(d) or a nonprofit organization under 37CFR 1.9(c)

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention listed below:

- ☐ No such person, concern, or organization
☒ person, concerns or organizations listed below*

* NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities (37CFR 1.27)

FULL NAME Uninterruptible Power Products Inc
ADDRESS 301 South Main Street, P.O. Box 350, Adams WI 53910
☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NON PROFIT ORGANIZATION

FULL NAME _____
ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON PROFIT ORGANIZATION

FULL NAME _____
ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON PROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at any time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, and patent issuing thereon, or any patent to which this verified statement is directed.

Gregory C. Rohls

NAME OF INVENTOR 4	NAME OF INVENTOR 5	NAME OF INVENTOR 6
<u>[Signature]</u>		
SIGNATURE OF INVENTOR	SIGNATURE OF INVENTOR	SIGNATURE OF INVENTOR

Date 05/08/98

Date _____

Date _____